



# MEMORANDUM – 2024-010

**TO** : ALL TRADING PARTICIPANTS

**SUBJECT** : EMPLOYEE MOVEMENT

**DATE** : 05 APRIL 2024

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 March 2024.

For information and guidance.

*(original signed)*

**GERARD B. SANVICTORES**

*President*

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Apr-24	PASCUA	ADAN EVAN O.	ASSOCIATED PERSON	-	SEEDBOX SECURITIES, INC.	RESIGNATION
20-Mar-24	EUDELA	ERIC JUDE B.	-	FINANCE ASSISTANT - CONTRACTUAL	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
18-Mar-24	REGALA	SHERIE MAY B.	-	RELATIONSHIP MANAGER / OFFICER-IN-CHARGE OF PAMPANGA	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
18-Mar-24	SAMARRO	MA. PETTETE B.	BUSINESS DEVELOPMENT ASSOCIATE	-	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	RESIGNATION
18-Mar-24	SY	CHRISTIANA ALEYNA F.	-	PRODUCT DEVELOPMENT ASSISTANT	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
18-Mar-24	MILANO	ALYX A.	-	CUSTOMER SERVICE ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Mar-24	LUBRINO	JASMIN E.	ADMIN STAFF	ADMIN ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Mar-24	PEREZ	COLYN P.	CUSTOMER SERVICE STAFF	CUSTOMER SERVICE ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Mar-24	DE LEON	CARLOS MATTHEW C.	INSTITUTIONAL BUSINESS GROUP ASSOCIATE	INSTITUTIONAL EQUITY DEALER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Mar-24	SALVADOR	VERONICA ARRON C.	BUSINESS OPERATIONS ASSOCIATE	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION
15-Mar-24	QUIMPO III	JOSE EDUARDO A.	DIRECTOR /NOMINEE	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
15-Mar-24	GARCIA	JUSTIN MATTHEW S.	BUSINESS DEVELOPMENT INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (End of Contract)
15-Mar-24	CASANO	RAMON EMILIO Y.	-	EQUITIES DEALER	REGIS PARTNERS, INC.	NEW EMPLOYMENT

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15-Mar-24	PONCE	VINCE ANDERSON M.	SETTLEMENT OFFICER	-	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	RESIGNATION (cleared)
15-Mar-24	SANTARINA	MARC CHRISTIAN V.	SALES & TRADING ASSOCIATE	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION
12-Mar-24	VALENCIANO	SONNY ARVIN C.	-	SALESMAN	BA SECURITIES, INC.	NEW EMPLOYMENT
11-Mar-24	YU	MANUEL P.	TRADER	-	SOLAR SECURITIES, INC.	DECEASED
11-Mar-24	VALENCIANO	SONNY ARVIN C.	SALESMAN	-	MERIDIAN SECURITIES, INC.	RESIGNATION (cleared)
11-Mar-24	BACCAY	ANGELIQUE F.	-	EQUITY RESEARCH ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
8-Mar-24	CHUA	RALPH MCWILLIAM CHARLESTON B.	-	ACCOUNTS SERVICES ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
8-Mar-24	ABRAHAM	MARIA THERESA I.	ASSOCIATED PERSON	ASSOCIATED PERSON AND COMPLIANCE OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
8-Mar-24	DAVID	IRENE O.	COMPLIANCE OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION
8-Mar-24	REAL	MARK BRYAN T.	SALESMAN	-	BA SECURITIES, INC.	RESIGNATION (clearance under process)
7-Mar-24	MAGAYON	SWEDEN V.	ACCOUNTING OFFICER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
6-Mar-24	MORANO	JANAH PATRICIA O.	-	STUDENT INTERN	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	NEW EMPLOYMENT
6-Mar-24	TORRES	JAN CHRISTIAN L.	-	STUDENT INTERN	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	NEW EMPLOYMENT

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5-Mar-24	RATERTA	LAIZA REGINA C.	OPERATION STAFF	-	SOLAR SECURITIES, INC.	RESIGNATION (clearance under process)
4-Mar-24	PEÑAFLO	MONNETTE D.	-	ACCOUNTING ASSISTANT	SALISBURY SECURITIES CORPORATION (Formerly: SALISBURY BKT SECURITIES CORPORATION)	NEW EMPLOYMENT
1-Mar-24	CHAN	JOYCE G.	AVP - HEAD OF CUSTOMER SUPPORT	VP – HEAD OF CLIENT SERVICES	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Mar-24	CRUZ	CARLO P.	AUTHORIZED CLERK AND DIRECTOR	-	IGC SECURITIES, INC.	RESIGNATION
1-Mar-24	CRUZ	ISMAEL JAIME O.	-	DIRECTOR	IGC SECURITIES, INC.	NEW EMPLOYMENT
1-Mar-24	PAGAS	EVANGELINE S.	-	ACCOUNTS SERVICES ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Mar-24	BORLAGDATAN	RYAN C.	-	SECURITIES OPERATIONS HEAD AND PAYMENT PROCESSING & SETTLEMENT TEAM HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Mar-24	LABAOIG	IRVIN JOHN A.	-	I.T. PRODUCT ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Mar-24	SALUDAY	MARILYN H.	OFFICE CLERK	-	R.S. LIM & COMPANY, INC.	RESIGNATION (no clearance required)
1-Mar-24	SORIANO	JANINA TRISHA L.	-	CUSTOMER SUPPORT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
1-Mar-24	SHARMA	AAYUSHI	-	NEW ACCOUNTS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
1-Mar-24	LOVERO	JASMIN MARY B.	NEW ACCOUNTS ASSOCIATE	COMPLIANCE ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION

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1-Mar-24	ANGELES	ANNIKA GABRIELLE S.	-	RESEARCH ANALYST	LUNA SECURITIES, INC. (formerly VSEC.COM, INC.)	NEW EMPLOYMENT
1-Mar-24	REYES	KHERWIN M.	-	CONTRACTUAL – JANITOR	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
29-Feb-24	ESTORCEO	HONEY LYN C.	TRADING FLOOR ASSISTANT	-	JSG SECURITIES, INC.	RESIGNATION (clearance under process)
29-Feb-24	MUNGCAL	RYLE DANIEL O.	-	INTERN	AP SECURITIES, INC.	NEW EMPLOYMENT
23-Feb-24	DE LUNA	MEIRA BEATRIS	INSTITUTIONAL DEALER	-	BPI SECURITIES CORPORATION	RESIGNATION
23-Feb-24	DARAOAY	ADLAE G.	ACCOUNTING ASSOCIATE	-	THE FIRST RESOURCES MGMT. & SECURITIES CORP.	RESIGNATION (no clearance required)
21-Feb-24	PEREZ	SHANEN AUDREY J.	-	INTERN	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
21-Feb-24	ENOBAY	CRISBEL	-	INTERN	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
20-Feb-24	RODRIGUEZ	RAMIL O.	MESSENGER	ADMINISTRATIVE CLERK	STRATEGIC EQUITIES CORPORATION	RE-DESIGNATION
19-Feb-24	ABADILLA	GISELLE R.	-	INTERN	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
19-Feb-24	CANINIT	RORELIN P.	-	INTERN	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
19-Feb-24	ROMERO	JOHN CHRISTOPHER C.	-	SYSTEM ANALYST & PROGRAMMER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
15-Feb-24	BANGAYAN	NELSON	MESSENGER	-	ARMSTRONG SECURITIES, INC.	RESIGNATION

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12-Feb-24	BARROGA	ROSE ANNE V.	-	BROKERAGE CLERK	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
6-Feb-24	LALIMO	RONALYN JOYCE C.	RESEARCH ANALYST- CONTRACTUAL	RESEARCH ASSISTANT	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RE-DESIGNATION
5-Feb-24	GALOPE	REA S.	-	SETTLEMENT OFFICER	ARMSTRONG SECURITIES, INC.	NEW EMPLOYMENT
5-Feb-24	GALOPE	REA S.	OPERATIONS STAFF	-	A & A SECURITIES, INC.	RESIGNATION (cleared)
1-Feb-24	CALMA	CATHERINE JOYCE M.	ACCOUNTING STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (cleared)
1-Feb-24	TAN	ILIAN C.	-	ASSOCIATE	JSG SECURITIES, INC.	NEW EMPLOYMENT
31-Jan-24	DALISAY	RECHELLE R.	HR BUSINESS RELATIONSHIP EXECUTIVE	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)
16-Jan-24	ESTOMATA	RENEE ALYSSON O.	-	LICENSED SALESMAN	SUMMIT SECURITIES, INC.	NEW EMPLOYMENT